

PATENT  
Attney Dkt No.: 10392-46701

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: WALLMAN, Steven M.H. et al.

Appl'n No.: 09/516,792

Filing Date: March 1, 2000

For: METHOD AND APPARATUS FOR  
TRADING SECURITIES OR OTHER  
INSTRUMENTS ON BEHALF OF  
CUSTOMERS

Group Art Unit: 3624

Examiner: KAZIMI, H.

MS AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

AUG 25 2004

**GROUP 3000**

**NOTICE OF APPEAL**

Sir:

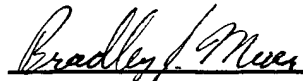
Applicants hereby appeal to the Board of Patent Appeals and Interferences the decision of the Examiner, dated February 18, 2004, finally rejecting the pending claims of the above-referenced application. Please charge payment of the \$165.00 small entity appeal fee to Kenyon & Kenyon's Deposit Account No. 11-0600.

Although not believed necessary, the Office is hereby authorized to charge any additional fees required under 37 C.F.R. § 1.16 or § 1.17 or credit any overpayments to Deposit Account No. 11-0600. Please note that the three-month small entity extension of time fee of \$475.00 was authorized in the Request for Reconsideration filed in this application on even date.

The Examiner is invited to contact the undersigned at 202-220-4200 to discuss any matter regarding this application.

Respectfully submitted,

Dated: August 18, 2004

  
Bradley J. Meier  
(Reg. No. 44,236)

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01 FC:2401 165.00 DA

08/19/2004 MMEKONEN 00000078 110600 09516792  
02 FC:2253 475.00 DA